UNITED STATES DEPARTMENT OF AGRICULTURE ANIMAL AND PLANT HEALTH INSPECTION SERVICE

VIOLATION OF FEDERAL REGULATIONS

CASE NO. WI08004-AC & KY10045-AC

VIOLATOR Alie Napier

ADDRESS (Street, City, State, Zip Code)

212 Brooklyn Circle Shelbyville, TN 37160

The Department of Agriculture has evidence that on or about September 22, 2007 and May 22, 2009, you or your organization committed the following violation of Federal Regulations:

Entered, for the purpose of showing or exhibiting, the horse "She's on Parole," at the 41st Annual Kentucky Celebration Horse Show and the horse "Don Julio," at the 39th Annual Spring Fun Show which tested positive for multiple hydrocarbons which are prohibited foreign substances.

The Horse Protection Act prohibits the following conduct:

15 U.S.C. § 1824(2) – Unlawful acts

"The (A) showing or exhibiting, in any horse show or horse exhibition, of any horse which is sore, (B) entering for the purpose of showing or exhibiting in any horse show or horse exhibition, any horse which is sore, (C) selling, auctioning, or offering for sale, in any horse sale or auction, any horse which is sore, and (D) allowing any activity described in clause (A), (B), or (C) respecting a horse which is sore by the owner of such horse."

15 U.S.C. § 1824(7) – Unlawful Acts

The showing or exhibiting at a horse show or horse exhibition; the selling or auctioning at a horse sale or auction; the allowing to be shown, exhibited, or sold at a horse show, horse exhibition, or horse sale or auction; the entering for the purpose of showing or exhibiting in any horse show or horse exhibition; or offering for sale at a horse sale or auction, any horse which is wearing or bearing any equipment, device, paraphernalia, or substance which the Secretary by regulation under Section 1828 of this title prohibits to prevent the soring of horses.

*** See Continuation Page ***

Titles 7 & 9 Code of Federal Regulations were promulgated to help prevent the spread of animal and plant pests and diseases and assure the humane treatment of animals. Since the violations of the regulations can have serious and costly impact detrimental to the public interest, you are warned of this violation. Any further violation of these regulations may result in the assessment of a civil penalty or criminal prosecution. If you have any questions concerning this warning or violation, please contact the listed APHIS official.

APHIS OFFICIAL (Name, Title)	OFFICE ADDRESS:	
Bernadette Juarez	4700 River Road, Unit 85	
Deputy Director		Riverdale, MD 20737
Investigative and Enforcement Servi		
SIGNATURE	DATE ISSUED	TELEPHONE NO.
m1 /4./ m	May 25, 2010	(301) 734-8684
FOR PERSONAL SERVICE - RECEIVED BY: (Name and signature)		DATE RECEIVED:

FOR CERTIFIED MAIL - RECEIPT NO:

APHIS FORM 7060 Rev. 07/06/1999 Previous editions may be used

The Horse Protection Regulations restrict the following conduct:

9 C.F.R. § 11.2(c) Prohibition concerning exhibitors – Substances

- c) Substances. All substances are prohibited on the extremities above the hoof of any Tennessee Walking Horse or racking horse while being shown, exhibited, or offered for sale at any horse show, horse exhibition, or horse sale or auction, except lubricants such as glycerine, petrolatum, and mineral oil, or mixtures thereof: Provided, that:
- (1) The horse show, horse exhibition, or horse sale or auction management agrees to furnish all such lubricants and to maintain control over them when used at the horse show, horse exhibition, or horse sale or auction.
- (2) Any such lubricants shall be applied only after the horse has been inspected by management or by a DQP and shall only be applied under the supervision of the horse show, horse exhibition, or horse sale, or auction management.
- (3) Horse show, horse exhibition, or horse sale or auction management makes such lubricants available to Department personnel for inspection and sampling as they deem necessary.

<u>9 C.F.R. § 11.3 – Scar rule</u>
"The scar rule applies to all horses born on or after October 1, 1975. Horses subject to this rule that do not meet the...scar rule criteria shall be considered to be "sore" and are subject to all prohibitions of...the Act."